## **FORM PTO-1083**

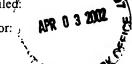
Case Docket No. 32606

In re application o Serial Ma Filed:

Brian E. Volk, R bert S. Breidenthal and Robert N. Ross 10/016,170

November 30, 2001

For:



MEASUREMENT APPARATUS FOR DENSE WAVELENGTH DIVISION **MULTIPLEXER DEVICES** 

374 419 019 US EXPRESS WARE

THE COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

Transmitted herewith is an amendment in the above-identified application.

- Small entity status of this application has been established.
- □ No additional fee is required.

The fee has been calculated as shown below:

(Col. 1) (Col. 2) (Col. 3) **CLAIMS** HIGHEST NO. **REMAINING PRESENT PREVIOUSLY AFTER EXTRA** PAID FOR **AMENDMENT** TOTAL 27 **MINUS** 20 **INDEP** MINUS □ FIRST PRESENTATION OF MULTIPLE DEP. CLAIM

SMALL ENTITY			SMALL ENTITY	
RATE	ADDIT. FEE	OR	RATE	ADDIT. FEE
\$ 9 =	\$ 63		\$ 18 =	\$

\$ 42

\$370 =\$ 0 TOTAL \$105

\$42 =

ADDIT. FEE

OR TOTAL

\$ 84 =

\$740 =

OTHER THAN A

\$

\$

\$

- \* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- \*\* If the "highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- \*\*\* If the "highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest Number Previously Paid For" )Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.
  - ☐ Please charge my Deposit Account No. <u>16-0875</u> the amount of 0.00.
  - A check in the amount of \$105.00 is attached.
  - The Commissioner is hereby authorized to charge payment of the following fees associated with this communication of credit any overpayment to Deposit Account No. 16-0875.
    - Any additional filing fees under 37 CFR 1.16 for the presentation of extra claims.
    - □Any patent application processing fees under 37 CFR 1.17.

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Respectfully submitted,

WALTER F. DAWSON, Attorney, Reg. No. 30,046

**DATE:** April 3, 2002

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04-04-2

EF 374419019 US

32606

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re

Brian E. Volk et al.

Serial No.

APR 0 3 2002

10/016,170

Filed

November 30, 2001

For

MEASUREMENT APPARATUS FOR DENSE WAVELENGTH

DIVISION MULTIPLEXER DEVICES

Examiner

Group Art Unit :

Lowell, Massachusetts April 3, 2002

EXPRESS MAIL NO. EF 374 419 019 US

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on April 3, 2002.

Walter F. Dawson, Registration No. 30,046

04/09/2002 AOSHM1 00000004 10016170 01 FC:202 02 FC:203

April 3, 2002

Commissioner of Patents Washington, D.C. 20231

Sir:

## PRELIMINARY AMENDMENT

This is a Preliminary Amendment for Application Serial No. 10/016,170, filed November 30, 2001.

Please amend such application as follows:

In the Claims

Please add the following new Claims 21-27.

LOWELL, MA 01852 04/03/2002 ARSWANI | 00000004-10016170

PEARSON & PEARSON, LLP PATENT ATTORNEYS GATEWAY CENTER

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01 FC:202

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